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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/588,125	08/01/2006	Ikuko Ohgaru	1012777-000066	5430	
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			WU, SHEAN CHIU		
ALEXANDRIA, VA 22313-1404		ART UNIT	PAPER NUMBER		
				1795	
			NOTIFICATION DATE	DELIVERY MODE	
			04/29/2009	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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## Application No. Applicant(s) 10/588 125 OHGARU ET AL. Office Action Summary Examiner Art Unit Shean C. Wu 1795 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 01 August 2006. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-46 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1 and 3-46 is/are rejected. 7) Claim(s) 2 is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on 01 August 2006 is/are: a) Accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received. Attachment(s)

1) Notice of References Cited (PTO-892)

Paper No(s)/Mail Date 8/1/06

2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

Interview Summary (PTO-413)
 Paper No(s)/Mail Date.

6) Other:

Notice of Informal Patent Application

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#### DETAILED ACTION

#### Claim Rejections - 35 USC § 112

- 1. Claims 1, 3-20 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for the one cyclic compound having at lest three substituents, does not reasonably provide enablement for cyclic being a triazine. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make or use the invention commensurate in scope with these claims. There is no support for cyclic compound in the specification other than cyclic triazine derivatives.
- Claims 8, 11, 13, 21 and 26-46 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claims 8, 11, 13, 27, 20 and 39, the Re and Rth are not defined.

In claim 37, the positions (second, third and sixth) referred to what are not defined.

Claims 38-46 are rejected because they are dependent claims.

In claim 21, the claim is not clearly defined because the 1, 3, 5-triazine ring also can be

UV absorber. Claims 27-36 are rejected because they are dependent claims.

### Claim Rejections - 35 USC § 102

 The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action: Application/Control Number: 10/588,125 Page 3

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A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

 Claims 21-22, 24-25 and 29-36 are rejected under 35 U.S.C. 102(b) as being anticipated by JP 2004-50516.

The reference discloses a cellulose acylate film contains 2 to 20 mass% of water and at least one kind of additive selected from the group consisting of a plasticizer, an anti-deterioration agent, a retardation increasing agent (the present 1.3,5-triazine ring), an ultraviolet absorbing agent and an aromatic compound (see abstract). The reference cellulose acylate film used for polarizing plate, compensator ([0088]), image display devices such as liquid crystal display (LCD) devices. The ultraviolet absorber, it is preferred to add in the range of 0.1 to 3 mass parts to 100 parts of the cellulose acylate (see UV 1-10 in [0053] or UV-5 on col. 5 in JP 7-11056). See the reference examples 1-5 comprise the retardation increasing agent and UV absorber. The a retardation increasing agent shown in example 4 is 1.3,5-triazine ring, which covers the present formula (II) ([022] and [0108]). The degree of acylation 55 to 62.5% is disclosed in [0016]. The VA type display device is disclosed in [0123]. Therefore, the reference anticipates the claimed invention.

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all
obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claim 23 is rejected under 35 U.S.C. 103(a) as being unpatentable over JP 2004-50516 in 6 view of EP 1237017.

JP '516 differs from the present claim in that the claim comprises UV absorber of formula III. However, the compound of formula III is very common absorber used in polarizing plate (EP '017), therefore, it would have been obvious to those skilled in the art to utilize the known UV absorber in optical film for polarizing plate.

#### Allowable Subject Matter

- 7 Claim 2 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
- 8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shean C. Wu whose telephone number is 571-272-1393. The examiner can normally be reached on 10:30 AM to 7:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kelly Cynthia can be reached on 571-272-1526. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Shean C Wu/ Primary Examiner, Art Unit 1795

scw